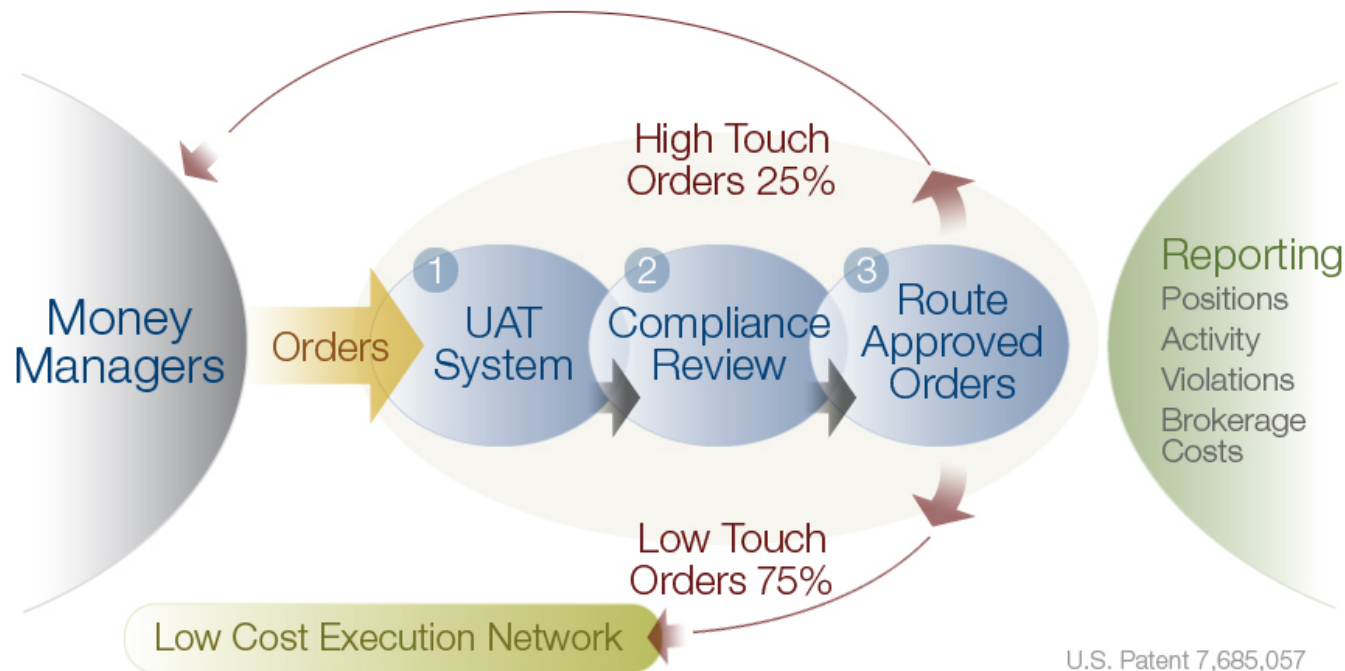


The UAT System's Patented Three Step Process *



Money Managers Enter Orders Into Their Own Trading Systems:

- 1 UAT System Captures and Categorizes Orders as *High Touch* or *Low Touch*
 - 2 Orders are Tracked and Reviewed by Automated, Pre-Trade Compliance Module
If Rule Violations Are Detected: Orders are Stopped for Further Review
 - 3 Compliance-Approved *High Touch* Orders Routed to Money Managers to “Work”
Compliance-Approved *Low Touch* Orders Auto-Routed To Low Cost Execution Network
- Real-Time Web-Based Reporting Provided to User Groups



* United States Patents 7,685,057 and 7,809,632 (Filed in 38 Countries)