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of Sub-Advised Assets.

FOR IMMEDIATE RELEASE

TRANSACTION COST ANALYSIS MADE EASIER FOR SUB-ADVISED PLATFORMS

UCCS Provides Direct Integration With Leading TCA Systems

DENVER—December 1, 2009--UAT, Inc., the Denver-based technology and consulting company that developed the Unified Compliance and Control System (UCCS), announced that UCCS has been enabled to directly integrate with the leading Transaction Cost Analysis (TCA) systems utilized by sponsors of sub-advised investment platforms.

Since the SEC began requiring all product sponsors to continuously monitor for best execution, or 'best ex', many investment advisers have utilized TCA software for the comprehensive analytics necessary to measure trade execution quality. Overseers of sub-advised platforms have faced a particularly difficult challenge with the process since trading is done on their behalf by outside money managers.

UCCS has been designed to seamlessly deliver the necessary data files and information from an entire sub-advised investment platform to an adviser's TCA system. The UAT system can also integrate with additional TCA vendors at a client's request.

"The regulatory bar has been raised for proper supervision, transparency, and cost efficiency of sub-advised investment platforms," said Tom Warren, President of UAT, Inc. "The integration of UCCS's real-time data into a TCA system means these groups can raise their oversight capabilities just as high, or even higher."

At the same time, cost-sensitive sub-advised organizations are seeking a better explanation of their true trading expenses. As trade orders are increasingly being filled electronically through a large number of smaller executions, useful trade cost analysis requires information on each smaller fill. This detailed trade information includes the execution venue, how many shares, at what price, and if each partial order was handled on an agency or principal basis. UAT's integrated system comprehensively tracks every order and can provide detailed information to a TCA system on individual fills as well as the total order.

"Transaction cost analysis is only as good as the inputs," says Warren. "There has to be a full understanding of all parts of a transaction to make the examination worthwhile."

The UAT system, the sub-advised industry's only integrated pre-trade compliance, real-time governance reporting, and brokerage cost savings system, provides its users with powerful technology to properly supervise holdings and activity through real-time governance reporting and a pre-trade compliance process. Advisers of sub-advised platforms are able to utilize UCCS to enhance the supervision and the detail of trade quality into a seamless process.

Types of sponsors expected to benefit from UCCS include insurance companies, pension plans, mutual fund companies, trusts, endowments and bank trusts groups.

About UAT, Inc.

UAT is a Colorado-based technology and consulting company offering the investment industry's first integrated pre-trade compliance and real-time risk management system for sub-advised platforms. The firm's innovative 'Unified Compliance and Control System' provides superior fiduciary control, lower brokerage costs, and improved investment performance for organizations utilizing external asset management, including insurance companies, pension plans, bank trust groups, 401(k) providers, mutual fund companies, foundations, and endowments. UCCS includes Linedata Service's award-winning LongView Trade Order Management System and Linedata Compliance to provide supervision over sub-adviser execution orders. For more information, visit Linedata Services corporate site at www.ldsam.com. For more information on UCCS, visit www.uatinc.com.

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