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## **UAT TO OFFER OUTSOURCED TRADING SERVICES TO SUB-ADVISED INVESTMENT PLATFORMS**

*JonesTrading Provides Electronic Trading Desk Service to UAT Clients*

**DENVER—September 29, 2009**--UAT, Inc., the Denver-based technology and consulting company that developed the Unified Compliance and Control System (UCCS), has announced the formation of a strategic relationship with JonesTrading Institutional Services LLC, a leading agency brokerage and provider of institutional block trading services.

Through the new relationship, UCCS clients will have the option to outsource the trading of all electronic orders with low expected market impact, or what are referred to as “Low Touch” transactions, to JonesTrading.

The JonesTrading Order Monitoring and Execution Services will be a particular benefit to UAT clients who do not operate in-house trading facilities but wish to assume control of Low Touch order flow. The trading services provided by JonesTrading will enable UAT clients to benefit from all of UCCS’s features without having to add staff or operate an internal trading desk. This outsourcing service offers a low per share cost along with substantial breakpoints for higher trading volume.

“JonesTrading’s longstanding reputation for value-added service and outstanding technology is a perfect complement to UCCS clients who do not operate their own trading desk,” said Tom Warren, President of UAT, Inc. “Their Order Monitoring and Execution Services give our clients the ability to fully benefit from UCCS without hiring traders or additional staff.”

In addition to generating significant savings in brokerage costs that improve investment performance, revenues, and profits, UCCS provides its users with pre-trade compliance to prevent sub-adviser trading violations, and real-time reporting of holdings and activity to enhance governance and risk management.

“We’re delighted to partner with UAT, as both of our organizations share the same goals of providing the highest quality services and technology at the lowest price to our partners,” said Packy Jones, JonesTrading’s

Chairman. “We believe that our strategic relationship with UAT will create a winning scenario for both the sponsor organizations that utilize UCCS and the shareholders in their underlying funds.”

Types of sponsors expected to benefit from UAT’s relationship with JonesTrading include insurance companies, pension plans, mutual fund companies, trusts, endowments and bank trust groups.

**About UAT, Inc.**

UAT is a Colorado-based technology and consulting company offering the sub-advised industry’s only pre-trade compliance, real-time reporting and brokerage cost savings system. The firm’s innovative ‘Unified Compliance and Control System’ provides superior fiduciary control, real-time holdings and activity, lower brokerage costs, and improved investment performance for organizations utilizing external asset management, including insurance companies, pension plans, bank trust groups, 401(k) providers, mutual fund companies, foundations, and endowments. UCCS includes Linedata Service’s award-winning LongView Trade Order Management System and Linedata Compliance to provide supervision over sub-advisor execution orders. For more information on UCCS, visit [www.uatinc.com](http://www.uatinc.com).

**About JonesTrading**

JonesTrading Institutional Services LLC is one of the oldest and largest pure execution-only equity trading firms in the U.S., and a worldwide leader in U.S. equity block trading. Since 1975, the sole focus of JonesTrading has been creating liquidity for institutions on an agency basis. The ability to provide a secure, trusted platform connecting a diverse group of market players enables the firm to unlock liquidity, providing best-quality execution for larger and more complex trades. Member FINRA & SIPC. [www.jonestrading.com](http://www.jonestrading.com)

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