



Harness the Power
of Sub-Advised Assets.

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**UAT'S FIRST-OF-ITS-KIND, REAL-TIME CONTROL SYSTEM
AWARDED U.S. PATENT**

*UAT System Provides Real-Time, Centralized Control Over Externally Managed
and Sub-Advised Investment Platforms*

DENVER — July 26, 2010 — UAT Inc., a financial services technology firm focused on helping the externally managed and sub-advised industry meet today's higher fiduciary standards, announced today the U.S. Patent and Trademark Office has awarded the company a patent for its UAT System, a first-of-its-kind solution to the decades-old deficiencies in the \$3.5 trillion externally managed and sub-advised market.

The UAT System enables organizations that utilize external managers to institute real-time, centralized control over externally managed and sub-advised assets, offering a service never before available in the financial services industry. The result of this groundbreaking system's real-time capabilities is increased transparency, more robust supervision and significant savings throughout the externally managed sub-advised market.

“Our goal is to enable organizations that utilize external managers to exert a greater degree of fiduciary control while decreasing costs,” said Tom Warren, UAT's President. “With the award of this patent, organizations with billions of dollars in externally managed assets have the opportunity to exert real-time control for the benefit of their members, participants and shareholders. UAT looks forward to serving these organizations, which utilize the outside expertise of the finest money management firms in the world.”

The UAT System provides, for the first time, an array of benefits in a single comprehensive platform, including real-time transparency that improves governance and fiduciary oversight, real-time supervision that identifies and prevents violations by external money managers and real-time control over brokerage that improves investment performance, creates new operating revenue and generates new expense offsets. The patent's 20-year life in combination with UAT's

multiple pending patent applications positions the company as the sole provider of this system and its associated benefits across the U.S. and global markets.

"The innovative UAT System provides a long overdue solution to the cost, transparency and operational challenges facing the sub-advised market," said Lynette DeWitt, Research Director, Sub-Advisory Market at Financial Research Corporation. "The adoption of UAT's new technology could well create impetus for increased growth rates in the sub-advised market."

In addition to providing real-time, centralized control over externally managed and sub-advised platforms, the UAT System also creates attractive strategic opportunities for service providers to this market:

- **Money managers** integrating with the UAT System provide fiduciary oversight and cost-savings benefits to clients, improving investment performance, enhancing initiatives to win new external mandates and protecting existing external assets under management.
- **Global custodians** providing the UAT system to clients to complement the services and offset the costs of their mid-office, back-office and custody services.
- **Pension consulting firms** introducing the UAT System to clients provide a best practice that strengthens governance, fiduciary oversight and control while offsetting operating expenses.
- **Executing brokers** integrating with the UAT System position their automated execution services to participate in the all-electronic order flow generated by the enormous externally managed and sub-advised market.

"Too often in the sub-advised and externally managed space, investment advisory companies face an inability to know what's going on as it happens," said Geoff Bobroff, President of Bobroff Consulting Inc. "The UAT system directly addresses this problem and provides a real-time, cost-effective solution that can have a significant impact on the way investment advisory companies meet their fiduciary responsibility in a changing market and regulatory environment."

The UAT System benefits organizations including public and private defined benefit plans, defined contribution plans, mutual funds, not-for-profit funds, variable insurance products, bank trust groups, endowments and foundations.

"The now-patented UAT System creates an industry standard that addresses longstanding transparency, oversight and cost issues while creating attractive opportunities for this market and its service providers," said Allan Chiulli, UAT's CEO. "We are honored to be in the position of providing a real-time model we believe will become the industry standard."

For more information about the UAT System, or to speak to Mssrs. Warren or Chiulli, please contact Jeaneen Pisarra at 973-732-3521 or jeaneen@jcprinc.com.

About UAT Inc.

UAT Inc. is a financial services technology firm focused on improving control, compliance and savings in the externally managed and sub-advised industry. Through its patented UAT System, developed to create transparency, oversight and cost savings, UAT provides real-time data to identify and prevent violations by external money managers, improve governance reporting and risk management, and generate significant, recurring cost savings. Public and private defined benefit plans, defined contribution plans, mutual funds, not-for-profit funds, variable insurance products, bank trust groups, endowments and foundations can all benefit from the UAT System.

The Denver, Colo., company seeks to create a new industry standard for real time, comprehensive fiduciary oversight and responsibility by utilizing state-of-the-art technology. For more information, visit www.uatinc.com.

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