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of Sub-Advised Assets.

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UAT AWARDED PATENT FOR BROKERAGE SAVINGS OPTIMIZER

DENVER — NOVEMBER 9, 2010 — UAT Inc., a financial services technology firm focused on helping the externally managed and sub-advised industry meet today’s higher fiduciary standards, announced today the United States Patent and Trademark Office has awarded the company U.S. Patent Number 7,831,503, the company’s third U.S. patent. This patent is issued for UAT’s Brokerage Savings Optimizer, a technology that maximizes explicit and implicit savings for all electronic or “low touch” orders. Such optimization minimizes total execution costs for these trades, thereby improving investment performance.

“Our mission at UAT is to save the externally managed and sub-advised industry \$1 billion annually, in part by maximizing implicit and explicit brokerage savings for orders executed through the UAT System,” said Tom Warren, UAT President. “The Brokerage Savings Optimizer is an important strategic asset in fulfilling this mission and creates a savings opportunity for the industry as a whole.”

The Brokerage Savings Optimizer minimizes the total expected explicit and implicit execution cost of securities trades, utilizing both real-time and historical analyses in an optimized process to select the most advantageous execution venue for each order. It is part of the UAT System, a first-of-its-kind solution to the decades-old deficiencies in the \$3.5 trillion externally managed and sub-advised market.

The UAT System benefits numerous organizations, including public and private defined benefit plans, defined contribution plans, mutual funds, not-for-profit funds, variable insurance products, bank trust groups, endowments and foundations. In addition, the system creates a number of strategic opportunities for money managers, global custodians, pension consulting firms and executing brokers.

For more information about the UAT System, please contact Carol Graumann at 973-732-3521 or carol@jcprinc.com.

About UAT Inc.

UAT Inc. is a financial services technology firm focused on improving control, compliance and savings in the externally managed and sub-advised industry. Through its patented UAT System, developed to create transparency, oversight and cost savings, UAT provides real-time data to identify and prevent violations by external money managers, improve governance reporting and risk management, and generate significant, recurring cost savings. Public and private defined benefit plans, defined contribution plans, mutual funds, not-for-profit funds, variable insurance products, bank trust groups, endowments and foundations can all benefit from the UAT System.

The Denver, Colo., company seeks to create a new industry standard for real time, comprehensive fiduciary oversight and responsibility by utilizing state-of-the-art technology. For more information, visit www.uatinc.com.

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