



Harness the Power  
Sub-Advised Assets.

**FOR IMMEDIATE RELEASE**

**CONTACT:** Dana Taormina  
JCPR  
973-732-3521  
[dana@jcprinc.com](mailto:dana@jcprinc.com)

### **UAT AWARDED FIFTH PATENT FOR IPERX COMMISSION SAVINGS SYSTEM**

**DENVER — MARCH 29, 2011** — UAT Inc., a financial services technology firm specializing in the externally managed and sub-advised industry, announced today the U.S. Patent and Trademark Office has awarded the company Patent Number 7,912,783, for the company's iPerX system, a breakthrough web-based technology that automates trading and potentially triples recapture rates for sub-advised and externally managed assets. This is UAT's fifth U.S. patent; other patents are pending for this and other UAT systems in the U.S. and 38 other countries.

"UAT's mission is to save the sub-advised and externally managed industry \$1 billion per year in part by lowering commissions, improving performance and providing real-time transparency," said Tom Warren, UAT's President. "We continue to seek a variety of ways to achieve this goal, including through the iPerX system. This patent further expands our intellectual property and helps to secure iPerX as a one-of-a-kind tool to facilitate increased commission savings, transparency and oversight."

iPerX enables money managers to automate an account's recapture goals, increasing recapture rates for up to 75% of an account's order flow, triple the current 25% industry norm. iPerX is the only product on the market that also provides transparent and real-time governance reporting on holdings, activity, commission and recapture rates for sub-advised and externally managed assets. A separate option is available that provides a multi-user, rules-based and exception-focused compliance system for all sub-advisor transactions.

As a web-based solution, iPerX requires no additional personnel or supervisory resources to run and has no installation costs or minimum requirements. iPerX is also available for licensing to current providers of commission recapture programs, with the added benefit of substantially increased revenues and enhanced services for these providers.

For more information about UAT please contact Dana Taormina at 973-732-3521 or [dana@jcprinc.com](mailto:dana@jcprinc.com).

## **About UAT Inc.**

UAT Inc. is a financial services technology firm specializing in the externally managed and sub-advised industry. Through its patented systems, including the UAT System and iPerX Commission Savings System, the Denver, Colo.-based company helps to lower operating costs and improve performance with better governance and fiduciary oversight. Its clients represent a variety of organizations that use external money management firms for their investment portfolios, including public and private defined benefit plans, defined contribution plans, mutual funds, not-for-profit funds, variable insurance products, bank trust groups, endowments and foundations. For more information, please visit [www.uatinc.com](http://www.uatinc.com).

###